

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE RECEIVED

re Application of

ank Bothe

Date: July 18, 2000

AUG 24 2000

rial No.: 09/574,783

Examiner: ---

TECH CENTER 2700

#7

led: May 19, 2000

Group Art Unit: ---

or: CONNECTING UNIT FOR THE ANGLE ADJUSTING CONNECTION
OF AT LEAST TWO LOUDSPEAKER ENCLOSURES,...

Hon. Commissioner of Patents
and Trademarks
Washington, DC 20231
Attention: Refund Section
Acct. Div., Office of Finance

REQUEST FOR REFUND AND
SUBMISSION OF SMALL ENTITY DECLARATION

OFFICE OF FINANCE
REFUND BRANCH
200 JUL 24 AM 11:43

US PATENT & TRADEMARK
OFFICE

Pursuant to the Notice in the Official Gazette dated February 15, 1983, it is requested that a refund be granted in the amount of \$ 345.00, the amount overpaid on for filing the above application.

The verified statement claiming small entity status of the assignee is enclosed.

It is requested that the refund be deposited to our Deposit Account No. 15-0700. A duplicate copy of this Request is enclosed.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on July 18, 2000:

Robert C. Faber

Name of applicant, assignee or
Registered Representative

Robert C. Faber

Signature

July 18, 2000

Date of Signature

RCF:ahc

Respectfully submitted,

Robert C. Faber

Robert C. Faber

Registration No.: 24,322

OSTROLENK, FABER, GERB & SOFFEN

1180 Avenue of the Americas

New York, New York 10036-8403

Telephone: (212) 382-0700

or Patent No.: _____ OFGS File No. _____ P/2107-135
 or Issue Date: _____
 Inventor or Patentee: _____

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
 37 CFR 1.9(f) and 1.27(c) - SMALL BUSINESS CONCERN

I hereby declare that with regard to the small business concern identified below I am
☐ the owner of the small business concern
☐ an official of the small business concern empowered to act on behalf of same
 NAME OF CONCERN: d & b audiotechnik AG
 ADDRESS OF CONCERN: Eugen-Adolff-Strasse 134, 71522 Backnang, Germany

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 1.21.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under 35 USC §41(a) and (b) in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns the affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention entitled CONNECTING UNIT FOR THE ANGLE-ADJUSTABLE CONNECTION OF AT LEAST TWO LOUDSPEAKER ENCLOSURES, AND CORRESPONDINGLY CONNECTED LOUDSPEAKER ENCLOSURES by inventor(s) Frank BOTHE described in

☐ U.S. Patent Application filed herewith
☐ U.S. Patent Application Serial No. _____ filed _____
☐ U.S. Patent No. _____ issued _____

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having the rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(c) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a non-profit organization under 37 CFR 1.9(e). *NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. 37 CFR 1.27.

NAME: _____
 ADDRESS: _____
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NON-PROFIT ORGANIZATION
 NAME: _____
 ADDRESS: _____
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NON-PROFIT ORGANIZATION

I acknowledge the duty to file in this patent application or patent, notification of any change of status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. 37 CFR 1.29(b).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 USC §1001, and that such willful false statements may jeopardize the validity of the patent application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: X Kay Lange
 ADDRESS OF PERSON SIGNING: Ehrenhalde 23, D-70192 Stuttgart

SIGNATURE: _____

DATE: _____

15.6.2000

2700
ATTENTION ATTENTION ATTENTION

Date Processed: *Small*

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OTPE

☐

ACH Payment

Attached Is The Most Current ACH Information

☐

Treasury Check

The Most Current ACH Information Was
Requested From This Customer With The Purpose Of
Processing His Refund Request By Electronic Fund
Transfer (EFT). No EFT Information Was Received.

Therefore, If Customer Is Due A Refund:

A Check Will Be Issued.

☒

Credit To Deposit Account

09/574783 GA

This Request for Refund Will Be Processed By Crediting Deposit
Account Number 15-0700, If Customer Is Due A Refund.

ATTENTION ATTENTION ATTENTION